

SECTOR ~~8~~

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

EIJI IWATA

Appln. No. 09/352,422

Filed: July 12, 1999

For: ENCODING APPARATUS AND  
METHOD OF SAME AND DECODING)  
APPARATUS AND METHOD OF  
SAME

) Group Art Unit: 2743

) Examiner: Not Assigned

) **RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION AND SUBMISSION  
OF COMBINED POWER OF ATTORNEY AND  
DECLARATION**

) 2001 Ferry Building  
San Francisco, CA 94111  
415/433-4150



Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed August 10, 1999 (copy enclosed), applicant submits the enclosed Combined Declaration for Patent Application and Power of Attorney.

A check in the amount of \$130.00 is enclosed herewith to cover the surcharge for filing missing parts of an application.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

September 7, 1999  
(Date)

SONY-P9799  
(S99P0799US00)

By:

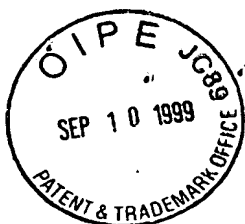
Charles P. Sammut  
Registration No. 28,901  
Attorneys for Applicant(s)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on September 7, 1999.

LIMBACH & LIMBACH L.L.P. Date: September 7, 1999

By:   
Name: Susan Ozanne



GAU 2743  
OSCO #6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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EIJI IWATA

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For: ENCODING APPARATUS AND  
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SAME

Group Art Unit: 2743

Examiner: Not Assigned

**SUBMISSION OF FORMAL DRAWINGS**

2001 Ferry Building  
San Francisco, CA 94111  
415/433-4150

RECEIVED  
SEP 15 1999  
TC 2700 MAIL ROOM

Attention: Official Draftsman  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in the above-identified application are twenty-one (21) sheets of formal drawings comprising Figures 1 through 21.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

September 7, 1999  
(Date)

SONY-P9799  
(S99P0799US00)

By:

Charles P. Sammut  
Charles P. Sammut  
Registration No. 28,901  
Attorneys for Applicant(s)

**CERTIFICATE OF MAILING**

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LIMBACH & LIMBACH L.L.P. Date: September 7, 1999

By:

Susan Ozanne  
Name: Susan Ozanne



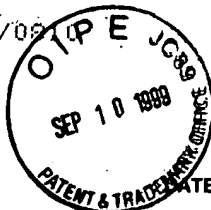
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/352,422	07/12/99	IWATA	E SONY-P9799

CHARLES P SAMMUT  
LIMBACH & LIMBACH LLP  
2001 FERRY BLDG  
SAN FRANCISCO CA 94111-4262

0232/09



NOT ASSIGNED

2743

DATE MAILED:

08/10/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

Response Due  
10/11/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

B. DAVENPORT  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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09/13/1999 HLE111 01 FZ:105